

# DPG for Reissner–Mindlin plates, Part 2

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# DPG method

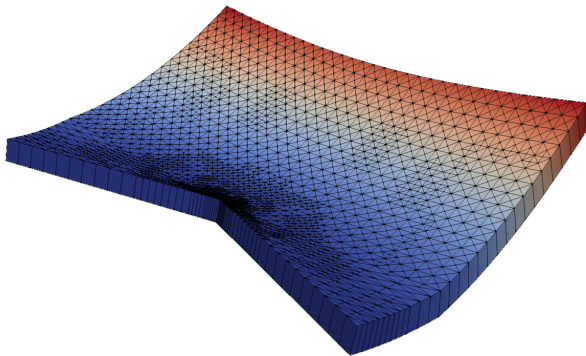
**Framework** by [Demkowicz, Gopalakrishnan '10]

- ultraweak formulation
- with product test spaces,
- independent trace variables, and
- optimal test functions.

**Related ideas**

- ultraweak formulation [Cessenat, Després '94, '98]
- independent trace variables [Botasso, Micheletti, Sacco '02],
- optimal test functions [Barret, Morton '84]
- mixed stabilization [Cohen, Dahmen, Welper '12],  
[Dahmen, Huang, Schwab, Welper '12]

# Deformation of a thin elastic structure



*Tofu design*

## Reissner–Mindlin model

$\mathbf{q}$  shear force vector,  $\mathbf{M}$  symmetric bending tensor,  $f$  surface load,

$$-\operatorname{div} \mathbf{q} = f, \quad \mathbf{q} = \operatorname{div} \mathbf{M} \quad \text{on } \Omega \quad (\text{midsurface})$$

Linearly elastic isotropic material:

$$\mathbf{M} = -t^3 \mathcal{C} \nabla^s \psi = -Dt^3 [\nu \operatorname{tr} \nabla^s \psi \mathbf{I} + (1 - \nu) \nabla^s \psi]$$

$$\mathbf{q} = \kappa G t (\nabla u - \psi) \quad \text{where}$$

$\psi$  rotation vector,  $u$  deflection,  $\nabla^s \psi := (\nabla \psi + \nabla \psi^T)/2$ ,

$$G = \frac{E}{2(1 + \nu)}, \quad D = \frac{E}{12(1 - \nu^2)}, \quad \kappa > 0 \quad (\text{shear correction}),$$

$E$ : Young modulus,  $\nu$ : Poisson ratio,  $t$ : plate thickness.

## Reissner–Mindlin model

Scaled problem:  $f \rightarrow t^3 f$ ,  $\mathbf{M} \rightarrow t^3 \mathbf{M}$ ,  $\kappa G = D = 1$ ,  $\nu = 0$

$$-\operatorname{div} \mathbf{div} \mathbf{M} = f \quad \text{in } \Omega$$

$$\mathbf{M} + \mathcal{C} \nabla^s (\nabla u - t^2 \mathbf{div} \mathbf{M}) = 0 \quad \text{in } \Omega$$

$$u = 0, \quad (\psi =) \nabla u - t^2 \mathbf{div} \mathbf{M} = 0 \quad \text{on } \partial\Omega$$

Formally setting  $t = 0$ : [Kirchhoff–Love model](#)

$$-\operatorname{div} \mathbf{div} \mathbf{M} = f \quad \text{in } \Omega$$

$$\mathbf{M} + \mathcal{C} \nabla^s \nabla u = 0 \quad \text{in } \Omega$$

$$u = 0, \quad \partial_n u = 0 \quad \text{on } \partial\Omega$$

In the following  $\mathcal{C} = \mathbf{I}$ .

# Reissner–Mindlin, Part 1

$$\operatorname{div}(\mathbf{div} \mathbf{M} + t(\boldsymbol{\theta} - \nabla u)) = -f \quad \text{in } \Omega$$

$$\mathbf{M} + \nabla^S(\nabla u - t^2 \mathbf{div} \mathbf{M}) = 0 \quad \text{in } \Omega$$

$$t(\boldsymbol{\theta} - \nabla u) = 0 \quad \text{in } \Omega$$

$$u = 0, \quad \nabla u - t^2 \mathbf{div} \mathbf{M} = 0 \quad \text{on } \partial\Omega$$

Testing with p/w smooth (**mesh!**)  $z$ ,  $\mathbf{Q}$  (symmetric tensors), and  $\boldsymbol{\tau}$

$$\begin{aligned} (u, \operatorname{div}(\mathbf{div} \mathbf{Q} + t(\boldsymbol{\tau} - \nabla z)))_{\mathcal{T}} + (\mathbf{M}, \mathbf{Q} + \nabla^S(\nabla z - t^2 \mathbf{div} \mathbf{Q}))_{\mathcal{T}} \\ + t(\boldsymbol{\theta}, \boldsymbol{\tau} - \nabla z)_{\mathcal{T}} + \text{“trace terms”} = -(f, z) \end{aligned}$$

# Reissner–Mindlin, Part 1

## Traces

$$\begin{aligned} \langle \text{tr}_{\mathcal{T},t}^{\text{RM}}(u, \mathbf{M}, \boldsymbol{\theta}), (z, \mathbf{Q}, \boldsymbol{\tau}) \rangle_{S,t} := \\ \langle u, \mathbf{n} \cdot (\text{div } \mathbf{Q} + t(\boldsymbol{\tau} - \nabla z)) \rangle_S - \langle \mathbf{n} \cdot (\text{div } \mathbf{M} + t(\boldsymbol{\theta} - \nabla u)), z \rangle_S \\ \langle \mathbf{M}\mathbf{n}, \nabla z - t^2 \text{div } \mathbf{Q} \rangle_S - \langle \nabla u - t^2 \text{div } \mathbf{M}, \mathbf{Q}\mathbf{n} \rangle_S \end{aligned}$$

## Regularities

$u$	$\in H_0^1(\Omega)$	$\Rightarrow \hat{u} := \text{tr}(u)$	(std trace)
$\nabla u - t^2 \text{div } \mathbf{M} = \boldsymbol{\psi}$	$\in \mathbf{H}_0^1(\Omega)$	$\Rightarrow \hat{\boldsymbol{\psi}} := \text{tr}(\boldsymbol{\psi})$	(std trace)
$\mathbf{M}$	$\in \mathbb{H}(\text{div}, \Omega)$	$\Rightarrow \hat{\mathbf{M}} := \text{tr}(\mathbf{M}\mathbf{n})$	(std trace)
$\text{div } \mathbf{M} + t(\boldsymbol{\theta} - \nabla u)$	$\in \mathbf{H}(\text{div}, \Omega)$	$\Rightarrow \hat{\boldsymbol{q}} := \text{tr}(\mathbf{n} \cdot \text{div } \mathbf{M})$	(std trace)

Introducing the independent variables  $\hat{u}, \hat{\boldsymbol{\psi}}, \hat{\mathbf{M}}, \hat{\boldsymbol{q}}$  we obtain a well-posed formulation

and a **locking** scheme, caused by  $\text{tr}(\nabla u - t^2 \text{div } \mathbf{M})$ .

# Reissner–Mindlin, Part 1

## Traces

$$\begin{aligned}
 \langle \text{tr}_{\mathcal{T},t}^{\text{RM}}(u, \mathbf{M}, \boldsymbol{\theta}), (z, \mathbf{Q}, \boldsymbol{\tau}) \rangle_{S,t} &= \\
 \langle u, \mathbf{n} \cdot (\mathbf{div} \mathbf{Q} + t(\boldsymbol{\tau} - \nabla z)) \rangle_S - \langle \mathbf{n} \cdot (\mathbf{div} \mathbf{M} + t(\boldsymbol{\theta} - \nabla u)), z \rangle_S \\
 \langle \mathbf{Mn}, \nabla z - t^2 \mathbf{div} \mathbf{Q} \rangle_S - \langle \nabla u - t^2 \mathbf{div} \mathbf{M}, \mathbf{Qn} \rangle_S \\
 &= (u, \mathbf{div}(\mathbf{div} \mathbf{Q} + t(\boldsymbol{\tau} - \nabla z)))_{\mathcal{T}} - (\mathbf{div}(\mathbf{div} \mathbf{M} + t(\boldsymbol{\theta} - \nabla u)), z) \\
 &\quad + (\mathbf{M}, \nabla^s(\nabla z - t^2 \mathbf{div} \mathbf{Q}))_{\mathcal{T}} - (\nabla^s(\nabla u - t^2 \mathbf{div} \mathbf{M}), \mathbf{Q}) \\
 &\quad - t(\boldsymbol{\theta}, \nabla z)_{\mathcal{T}} + t(\nabla u, \boldsymbol{\tau})
 \end{aligned}$$

motivates to use the following norms

$$\begin{aligned}
 \|(u, \mathbf{M}, \boldsymbol{\theta})\|_{U(t)}^2 &:= \|u\|^2 + t\|\nabla u\|^2 + \|\mathbf{M}\|^2 + t\|\boldsymbol{\theta}\|^2 \\
 &\quad + \|\nabla^s(\nabla u - t^2 \mathbf{div} \mathbf{M})\|^2 + \|\mathbf{div}(\mathbf{div} \mathbf{M} + t(\boldsymbol{\theta} - \nabla u))\|^2 \\
 \|(z, \mathbf{Q}, \boldsymbol{\tau})\|_{V(\mathcal{T},t)}^2 &:= \|z\|^2 + t\|\nabla z\|_{\mathcal{T}}^2 + \|\mathbf{Q}\|^2 + t\|\boldsymbol{\tau}\|^2 \\
 &\quad + \|\nabla^s(\nabla z - t^2 \mathbf{div} \mathbf{Q})\|_{\mathcal{T}}^2 + \|\mathbf{div}(\mathbf{div} \mathbf{Q} + t(\boldsymbol{\tau} - \nabla z))\|_{\mathcal{T}}^2
 \end{aligned}$$



# Reissner–Mindlin, Part 1

## Main result

Theorem (Führer, Heuer, Sayas 2020).

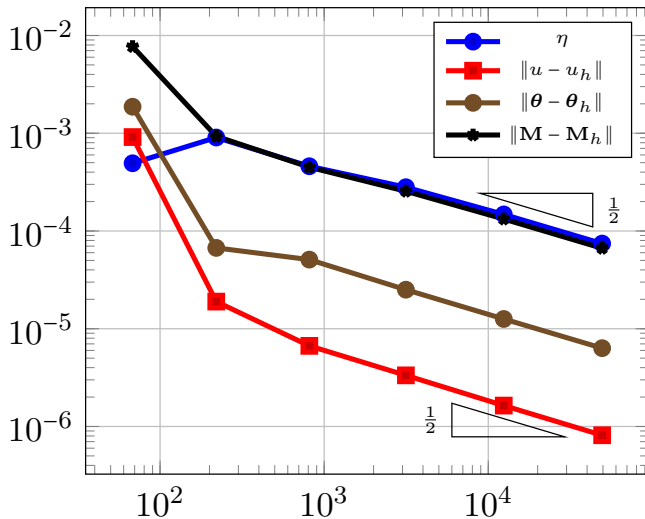
The ultraweak variational formulation is uniformly well posed and the DPG approximation converges quasi-optimally.

What about the approximation of traces and shear locking?

**Open.** Smooth solutions are fine.

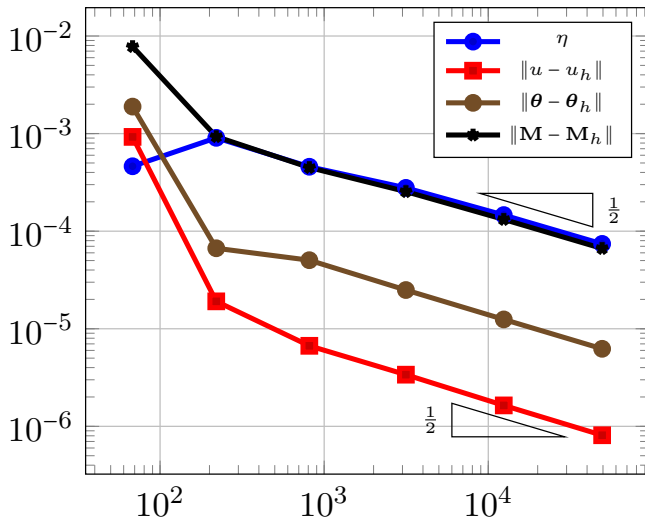
# Polynomial solution

$$t = 10^{-2}$$



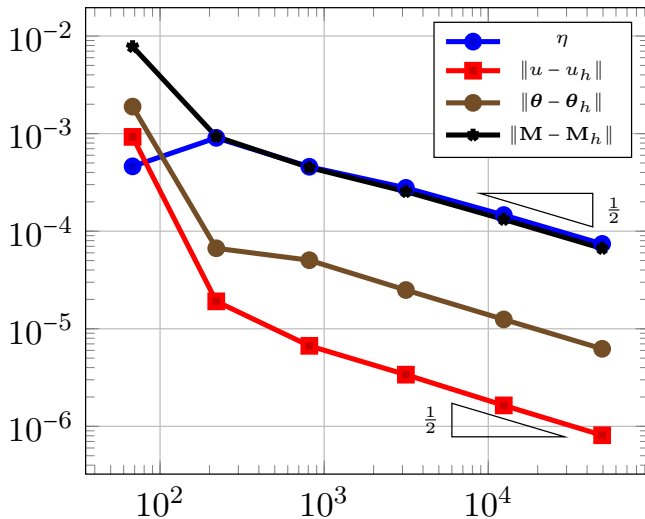
# Polynomial solution

$t = 10^{-4}$



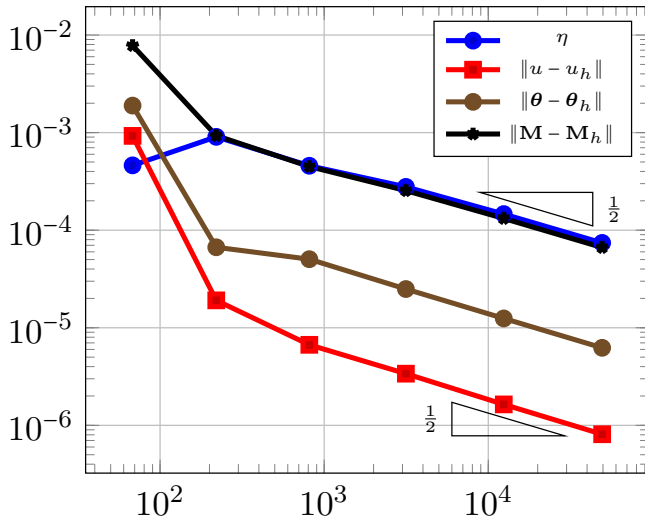
# Polynomial solution

$$t = 10^{-6}$$



# Polynomial solution

$$t = 10^{-8}$$



## Timoshenko beam

Scaled Reissner–Mindlin:

$$-\operatorname{div} \mathbf{div} \mathbf{M} = f, \quad \mathbf{M} + \nabla^S(\nabla u - t^2 \mathbf{div} \mathbf{M}) = 0 \quad \text{in } \Omega$$

Scaled Timoshenko beam:

$$-M'' = f, \quad M + u'' - t^2 M'' = 0 \quad \text{in } I := (0, 1)$$

plus boundary conditions (for  $u$ ,  $\psi = u' - t^2 M'$ ,  $M$ ,  $M'$ ).

Full regularity:  $u, M \in H^2(I)$ .

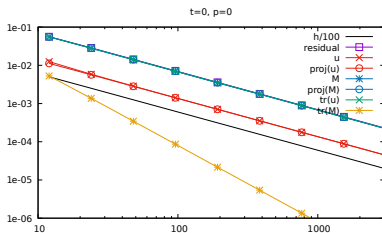
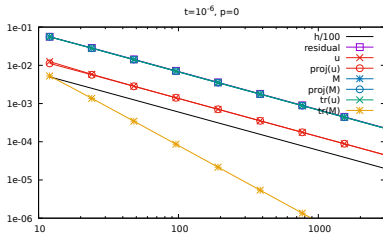
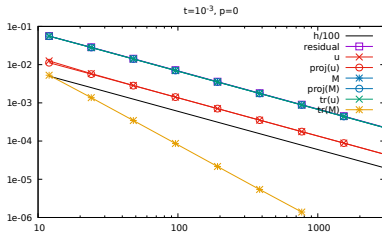
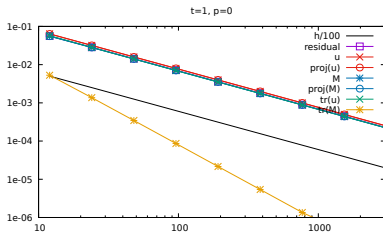
We use standard  $H^2(\mathcal{T})$ -test norms and ultraweak formulation.

Theorem (Führer, García, Heuer 2021).

The ultraweak variational formulation is uniformly well posed and the DPG approximation converges quasi-optimally.

# Timoshenko beam

$$f(x) = \sin(\pi x), \quad \text{clamped}(0), \text{ free}(1), \quad t = 1, 10^{-3}, 10^{-6}, 0.$$



# Conclusions<sup>1</sup>

- A uniformly well-posed formulation does not guarantee locking-free approximations.
- For 1d problems, ultraweak formulations give locking-free schemes.
- The **DPG framework** is designed towards inf-sup stability, **not to alleviate locking phenomena.**
- Considering ultraweak formulations, **traces carry all the burden:**
  - regularity
  - conformity
  - locking phenomena
- The good news is: **traces are lower-dimensional objects.**

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<sup>1</sup>Be patient, talk continues.



## Some references

DPG: Niemi, Bramwell, Demkowicz ('11), Calo, Collier, Niemi ('14)

This talk so far: Führer, H., Sayas ('20), Führer, García, H. ('21)

DPG for Lamé: Bramwell, Demkowicz, Gopalakrishnan, Qiu ('12), Carstensen, Hellwig ('16)

Helmholtz decomposition: Brezzi, Fortin ('86), Arnold, Falk ('89)

Reduced integration: Brezzi, Bathe, Fortin ('89), Brezzi, Fortin, Stenberg ('91), Arnold, Falk ('97)

Least squares: Bramble, Sun ('98), Cai, Ye, Zhang ('99), Cai ('00)

Special elements: Arnold, Brezzi, Falk, Marini ('07)

Weakly over-penalized DG: Bösing, Carstensen ('15, '15)

Discrete de Rham (DDR) complex method: Di Pietro, Droniou (arXiv)

## Reissner–Mindlin (scaled, $\mathcal{C} = \mathbf{I}$ )

$$-\operatorname{div} \mathbf{div} \mathbf{M} = f, \quad \mathbf{M} + \nabla^s (\nabla u - t^2 \mathbf{div} \mathbf{M}) = 0$$

with rotation and shear force variables:

$$-\operatorname{div} \mathbf{q} = f, \quad \mathbf{M} + \nabla^s \psi = 0, \quad \mathbf{div} \mathbf{M} - \mathbf{q} = 0, \quad \mathbf{q} = t^{-2} (\nabla u - \psi)$$

boundary conditions

$$\text{hard clamped: } \psi = 0, \quad u = 0 \quad \text{on } \Gamma_{\text{hc}},$$

$$\text{soft clamped: } \psi \cdot \mathbf{n} = \mathbf{t} \cdot \mathbf{Mn} = u = 0 \quad \text{on } \Gamma_{\text{sc}},$$

$$\text{hard simple support: } \mathbf{n} \cdot \mathbf{Mn} = \psi \cdot \mathbf{t} = u = 0 \quad \text{on } \Gamma_{\text{hss}},$$

$$\text{soft simple support: } \mathbf{Mn} = 0, \quad u = 0 \quad \text{on } \Gamma_{\text{sss}},$$

$$\text{free: } \mathbf{Mn} = 0, \quad \mathbf{q} \cdot \mathbf{n} = 0 \quad \text{on } \Gamma_{\text{f}}.$$

## Hemholtz decomposition

$$-\operatorname{div} \mathbf{q} = f, \quad \mathbf{M} + \nabla^s \psi = 0, \quad \operatorname{div} \mathbf{M} - \mathbf{q} = 0, \quad \mathbf{q} = t^{-2}(\nabla u - \psi)$$

$$\mathbf{q} = \nabla r + \operatorname{curl} p$$

with  $r \in H_u^1(\Omega) : (\nabla r, \nabla \delta r) = (f, \delta r) \quad \forall \delta r \in H_u^1(\Omega)$

$$p \in H^1(\Omega) : \operatorname{rot}(t^2 \operatorname{curl} p + \psi) = 0$$

$$\text{BC} \quad p = 0 \quad \text{on } \Gamma_f, \quad \mathbf{t} \cdot (t^2 \operatorname{curl} p + \psi) = 0 \quad \text{on } \Gamma \setminus \bar{\Gamma}_f$$

gives

$$\mathbf{M} + \nabla^s \psi = 0, \quad \operatorname{div} \mathbf{M} - \operatorname{curl} p = \nabla r, \quad \operatorname{rot}(t\boldsymbol{\eta} + \psi) = 0, \quad t \operatorname{curl} p - \boldsymbol{\eta} = 0$$

and  $u$  is determined by

$$u \in H_u^1(\Omega) : (\nabla u, \nabla \delta u) = t^2(f, \delta u) + (\psi, \nabla \delta u) \quad \forall \delta u \in H_u^1(\Omega).$$

## Spaces and traces

Second stage:

$$\mathbf{M} + \nabla^s \psi = 0, \quad \mathbf{div} \mathbf{M} - \mathbf{curl} \mathbf{p} = \nabla r, \quad \mathbf{rot}(t\boldsymbol{\eta} + \boldsymbol{\psi}) = 0, \quad t \mathbf{curl} \mathbf{p} - \boldsymbol{\eta} = 0$$

$$V_1(\mathcal{T}) := \mathbf{H}^1(\mathcal{T}) \times \mathbf{H}(\mathbf{rot}, \mathcal{T}), \quad U_1 := \mathbf{H}^1(\Omega) \times \mathbf{H}(\mathbf{rot}, \Omega) + \text{BC}$$

$$\|(\boldsymbol{\psi}, \boldsymbol{\eta})\|_{V_1(\mathcal{T}, t)}^2 := \|\boldsymbol{\psi}\|^2 + \|\nabla \boldsymbol{\psi}\|_{\mathcal{T}}^2 + \|\boldsymbol{\eta}\|^2 + t^{-2} \|\mathbf{rot}(t\boldsymbol{\eta} + \boldsymbol{\psi})\|_{\mathcal{T}}^2$$

$$V_2(\mathcal{T}) := \mathbb{H}^s(\mathbf{div}, \mathcal{T}) \times H_*^1(\mathcal{T}), \quad U_2 := \mathbb{H}(\mathbf{div}, \Omega) \times H^1(\Omega) + \text{BC}$$

$$\|(\mathbf{M}, \mathbf{p})\|_{V_2(\mathcal{T}, t)}^2 := \|\mathbf{M}\|^2 + t_*^2 \|\mathbf{p}\|_*^2 + \|\mathbf{div} \mathbf{M} - \mathbf{curl} \mathbf{p}\|_{\mathcal{T}}^2 + t^2 \|\mathbf{curl} \mathbf{p}\|_{\mathcal{T}}^2$$

$$\text{tr}^\psi : U_1(\mathcal{T}) \rightarrow V_2(\mathcal{T})', \quad \langle \text{tr}^\psi(\boldsymbol{\psi}, \boldsymbol{\eta}), (\mathbf{S}, \mathbf{v}) \rangle_S = \langle \boldsymbol{\psi}, \mathbf{S}\mathbf{n} + \mathbf{v}\mathbf{t} \rangle_S + t \langle \boldsymbol{\eta} \cdot \mathbf{t}, \mathbf{v} \rangle_S$$

$$\text{tr}^M : U_2(\mathcal{T}) \rightarrow V_1(\mathcal{T})', \quad \langle \text{tr}^M(\mathbf{M}, \mathbf{p}), (\boldsymbol{\chi}, \boldsymbol{\rho}) \rangle_S = \langle \mathbf{M}\mathbf{n}, \boldsymbol{\chi} \rangle_S + \langle \mathbf{p}, (t\boldsymbol{\rho} + \boldsymbol{\chi}) \cdot \mathbf{t} \rangle_S$$

## Ultraweak formulation of second stage

$$U(t) := \mathbf{L}_2(\Omega) \times \mathbf{L}_2(\Omega) \times \mathbb{L}_2^s(\Omega) \times L_2^*(\Omega) \times \text{tr}^\psi(U_1) \times \text{tr}^M(U_2)$$

$$\text{with norm}^2 \quad \|\psi\|^2 + \|\eta\|^2 + \|\mathbf{M}\|^2 + t^2 \|\rho\|_*^2 + \|\widehat{\psi\eta}\|_{\psi,t}^2 + \|\widehat{M\rho}\|_{M,t}^2$$

Find  $(\psi, \eta, \mathbf{M}, \rho, \widehat{\psi\eta}, \widehat{M\rho}) \in U(t)$ :

$$\begin{aligned} & (\psi, \mathbf{curl} \, v - \mathbf{div} \, \mathbf{S})_{\mathcal{T}} + (\mathbf{M}, \mathbf{S} + \nabla^s \chi)_{\mathcal{T}} + (\eta, t \mathbf{curl} \, v - \rho)_{\mathcal{T}} \\ & + (\rho, \text{rot}(t\rho + \chi))_{\mathcal{T}} + \langle \widehat{\psi\eta}, (\mathbf{S}, v) \rangle_S - \langle \widehat{M\rho}, (\chi, \rho) \rangle_S = -(\nabla r, \chi) \quad (*) \\ & \forall (\chi, \rho, \mathbf{S}, v) \in V_1(\mathcal{T}) \times V_2(\mathcal{T}). \end{aligned}$$

**Theorem** (Führer, Heuer, Niemi)

Given  $t \in (0, 1]$ ,  $r \in H_u^1(\Omega)$ , (\*) is well posed with solution

$$\|\psi\|^2 + \|\eta\|^2 + \|\mathbf{M}\|^2 + t^2 \|\rho\|_*^2 + \|\widehat{\psi\eta}\|_{\psi,t}^2 + \|\widehat{M\rho}\|_{M,t}^2 \lesssim \|\nabla r\|^2.$$

## Three-stage method

$$\text{FEM } r_h \in X_h: \quad (\nabla r_h, \nabla \delta r) = (f, \delta r) \quad \forall \delta r \in X_h \subset H_u^1(\Omega)$$

$$\text{DPG } u_h = (\psi_h, \eta_h, \mathbf{M}_h, \rho_h, \widehat{\psi} \eta_h, \widehat{M} \rho_h) \in U_h:$$

$$b(u_h; \chi, \rho, \mathbf{S}, v) = -(\nabla r_h, \chi) \quad \forall (\chi, \rho, \mathbf{S}, v) \in T(U_h)$$

$$\text{FEM } u_h \in X_h: \quad (\nabla u_h, \nabla \delta u) = t^2 (f, \delta u) + (\psi_h, \nabla \delta u) \quad \forall \delta u \in X_h.$$

*Cranking the DPG machinery ...*

**Theorem** (Führer, Heuer, Niemi)

Given  $t \in (0, 1]$ ,  $f \in L_2(\Omega)$ , FEM/DPG/FEM is well posed and

$$\|\nabla(r - r_h)\| + \|u - u_h\|_{U(t)} + \|\nabla(u - u_h)\| \lesssim \inf \|\nabla(r - \tilde{r}_h)\| + \|u - \tilde{u}_h\|_{U(t)} + \|\nabla(u - \tilde{u}_h)\|.$$

What about locking?

## Three-stage method is locking free

**Proposition** (Arnold, Falk '89)

Conditions: hard-clamped, convex plate,  $\mathcal{C} = \mathbf{I}$ . Then

$$\|r\|_2 + \|u\|_2 + \|\psi\|_2 + \|p\|_1 + t\|p\|_2 \lesssim \|f\|$$

with  $(p, 1) = 0$ .

**Theorem** (Führer, Heuer, Niemi)

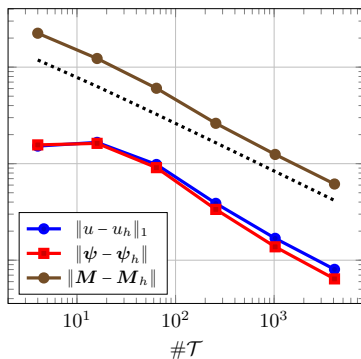
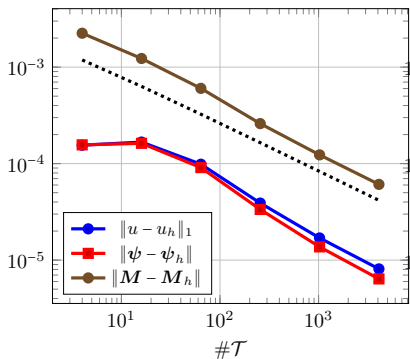
Under the conditions of the proposition, and for the lowest-order three-stage scheme,

$$\|\nabla(u - u_h)\| + \|\psi - \psi_h\| + \|\mathbf{M} - \mathbf{M}_h\| \lesssim h\|f\|$$

uniformly in  $t \in (0, 1]$ ,  $f \in L_2(\Omega)$ , and  $\mathcal{T}$ .

# Polynomial solution

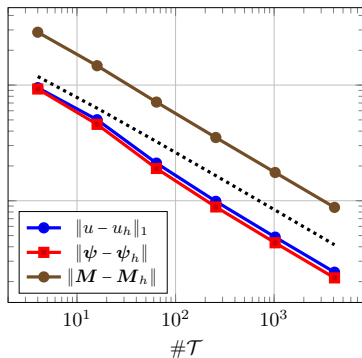
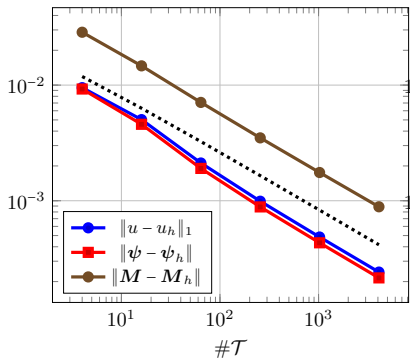
$$\Omega = (0, 1)^2, \quad hc, \quad t = 10^{-2}, 10^{-4} \text{ (left, right)}$$





# Kirchhoff solution (Fourier)

$$\Delta^2 u = 1, \quad \Omega = (0, 1)^2, \quad \text{hss}, \quad t = 10^{-2}, 10^{-4} (\text{left, right})$$

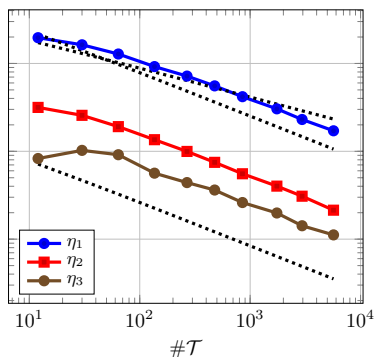
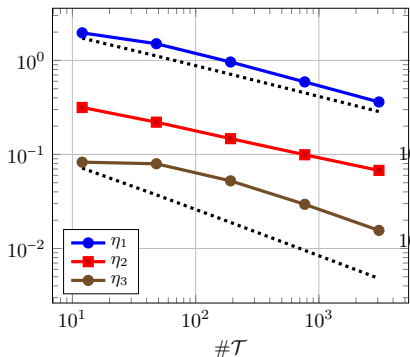


# Singular solution (unknown)

$$f = 1, \quad \Omega = (-1, 1)^2 \setminus [-1, 0], \quad hc/f, \quad t = 10^{-3}$$

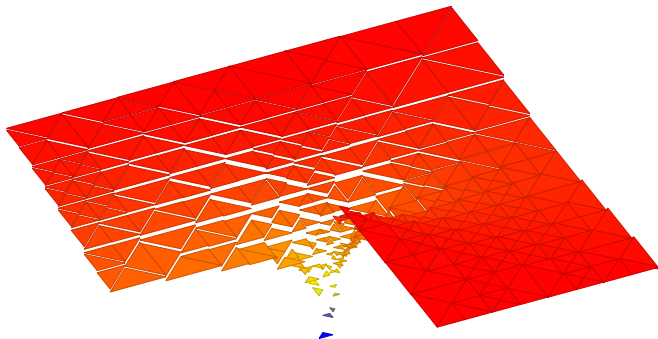
uniform

adaptive



## Singular solution (unknown)

$\mathbf{M}_{22}$  on adaptively refined mesh (477 elements)



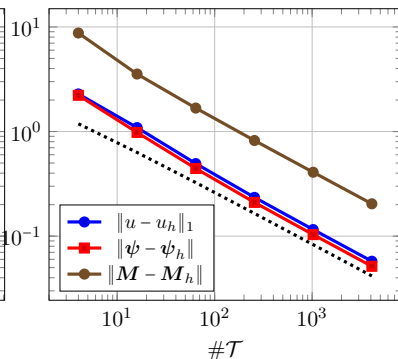
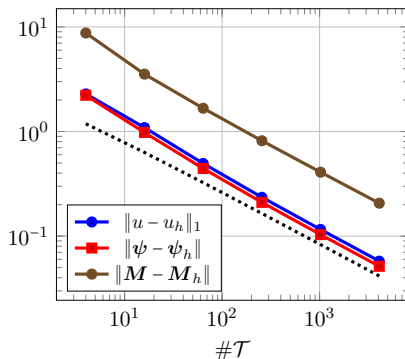
## $t$ -dependent solution

Manufactured solution from Di Pietro, Droniou (arXiv, '21)

$$\Omega = (0, 1)^2, \quad \text{hc (non-homog.)}, \quad \|\mathbf{q}\|_1 \sim t^{-1/2}, \quad \text{div } \mathbf{q} = -f = O(1)$$

$$t = 10^{-2}$$

$$t = 10^{-4}$$



# Final conclusions

Locking phenomena remain a tricky business.

Why use DPG/ultraweak formulations (in mechanics)?

- inf-sup stability
- flexibility in choosing variables (~ formulation)
- built-in adaptivity and error estimation
- symmetry of field tensor-variables
- convenience of traces (conformity, access to effective shear force, alleviate locking, ...)